



# Resonance Overlap and Transport in the Restricted Three-Body Problem

*Shane D. Ross*

*Control and Dynamical Systems  
California Institute of Technology*

Midwest Dynamical Systems Seminar  
University of Cincinnati, October 5, 2002

# Acknowledgements

- C. McCord, K. Meyer
- W. Koon, M. Lo, J. Marsden, F. Lekien
- G. Gómez, J. Masdemont
- C. Jaffé, D. Farrelly, T. Uzer, S. Wiggins
- K. Howell, B. Barden, R. Wilson
- C. Simó, J. Llibre, R. Martinez
- E. Belbruno, B. Marsden, J. Miller
- H. Poincaré, J. Moser, C. Conley, R. McGehee

# Outline of talk

- *Insight into some dynamical astronomy phenomena can be gained by a restricted three-body analysis*
  - e.g., Jupiter-family comets and scattered Kuiper Belt objects (under Neptune's control); near-Earth objects
  - By applying dynamical systems methods to the planar, circular restricted three-body problem, several questions regarding these populations may be addressed
  - Outline some theoretical ideas
  - Several computational results will be shown
  - Comparison with observational data is made
  - Future directions: other  $N$ -particle systems

# Transport Theory

## ■ *Chaotic dynamics*

$\implies$  *statistical methods*

## ■ *Transport theory*

### □ Ensembles of phase space trajectories

- How long (or likely) to move from one region to another?
- Determine transition probabilities, correlation functions

### □ Applications:

- Atomic ionization rates
- Chemical reaction rates
- Comet and asteroid escape rates, resonance transition probabilities, collision probabilities

# Transport Theory

## ■ *Transport in the solar system*

- For objects of interest
  - e.g., Jupiter family comets, near-Earth asteroids, dust
- **Identify phase space objects** governing transport
- View  $N$ -body as multiple restricted 3-body problems
- Look at stable and unstable manifold of periodic orbits associated with Lagrange points and mean motion resonances
- Use these to **compute statistical quantities**
  - e.g., probability of resonance transition, escape rates

# Dynamical astronomy

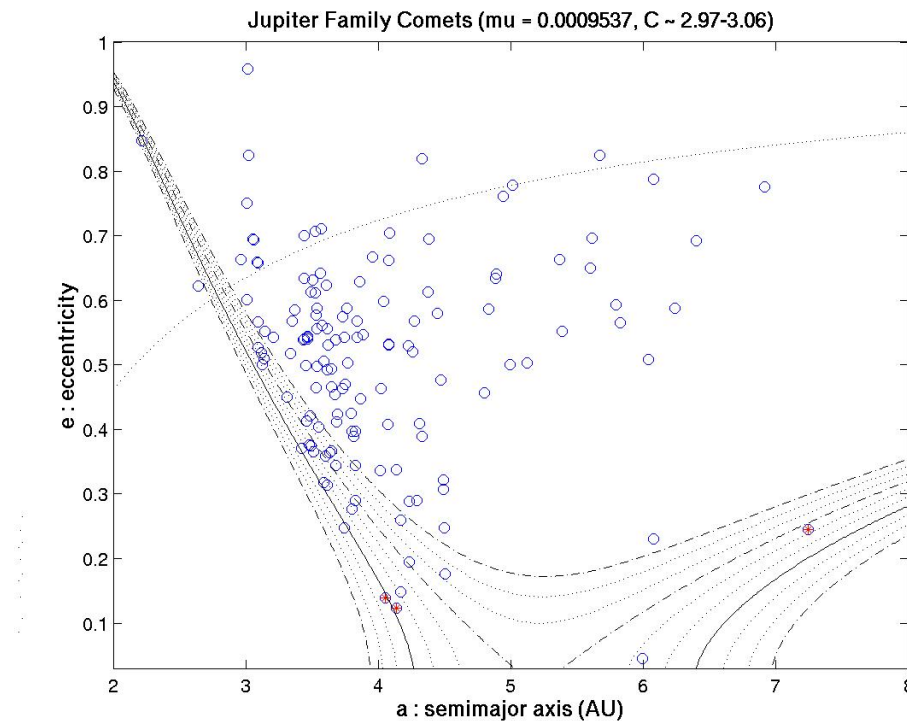
- We want to answer several questions regarding the transport and origin of some kinds of solar system material
  - How do we characterize the motion of Jupiter-family comets (JFCs) and scattered Kuiper Belt objects (SKBOs)?
  - How probable is a Shoemaker-Levy 9-type collision with Jupiter? Or an asteroid collision with Earth (e.g., KT impact 65 Ma)?
  - How likely is a transition from outside a planet's orbit to inside (e.g., the dance of comet Oterma with Jupiter)?
  - We can answer these questions by considering the phase space
  
- Harder questions
  - How does impact ejecta get from Mars to Earth?
  - How does an SKBO become a comet or an Oort Cloud comet?
  - Find features common to all exo-solar planetary systems?

# Jupiter Family Comets

- JFCs and lines of constant **Tisserand parameter**,

$$T = \frac{1}{a} + 2\sqrt{a(1 - e^2)},$$

an approximation of the Jacobi constant (i.e.,  $C = T + \mathcal{O}(\mu)$ )



# Jupiter Family Comets

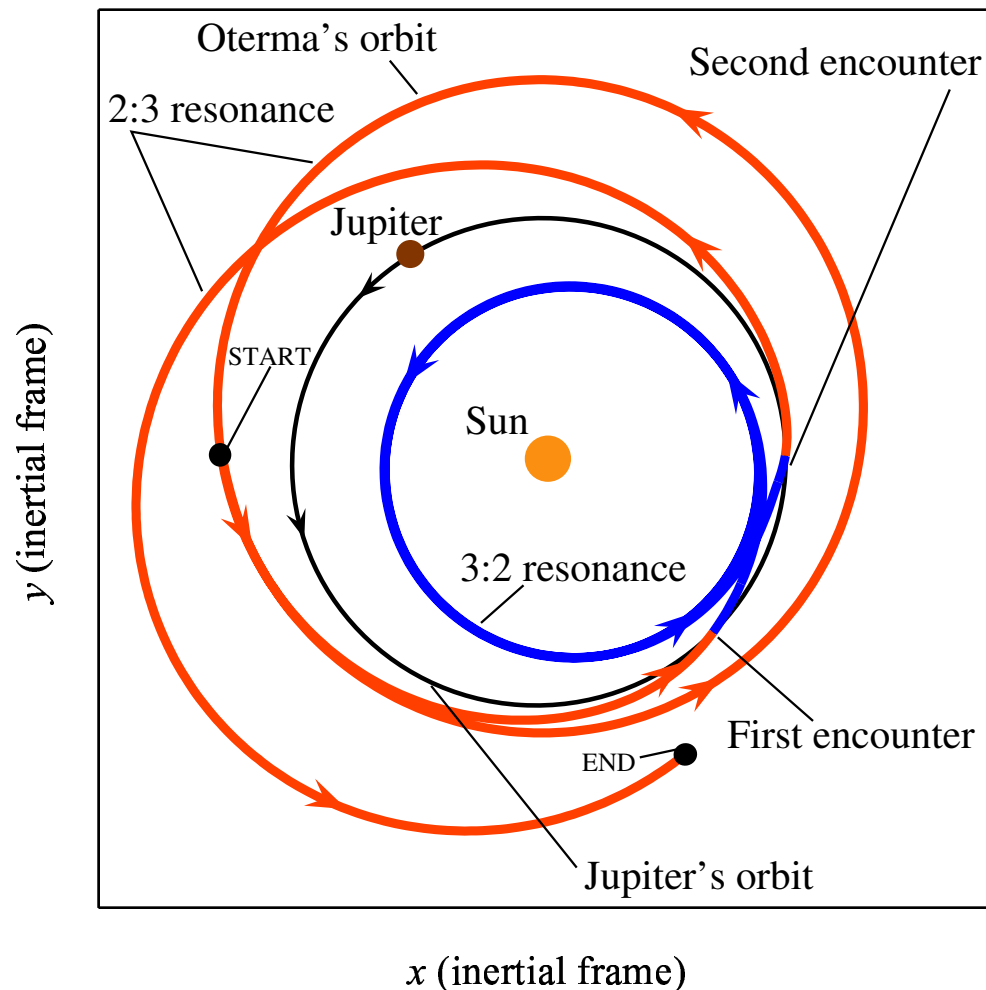
## ■ *Physical example of intermittency*

- We consider the **historical record** of the comet **Oterma** from 1910 to 1980
  - first in an inertial frame
  - then in a rotating frame
  - a special case of pattern evocation
- Similar pictures exist for many other comets



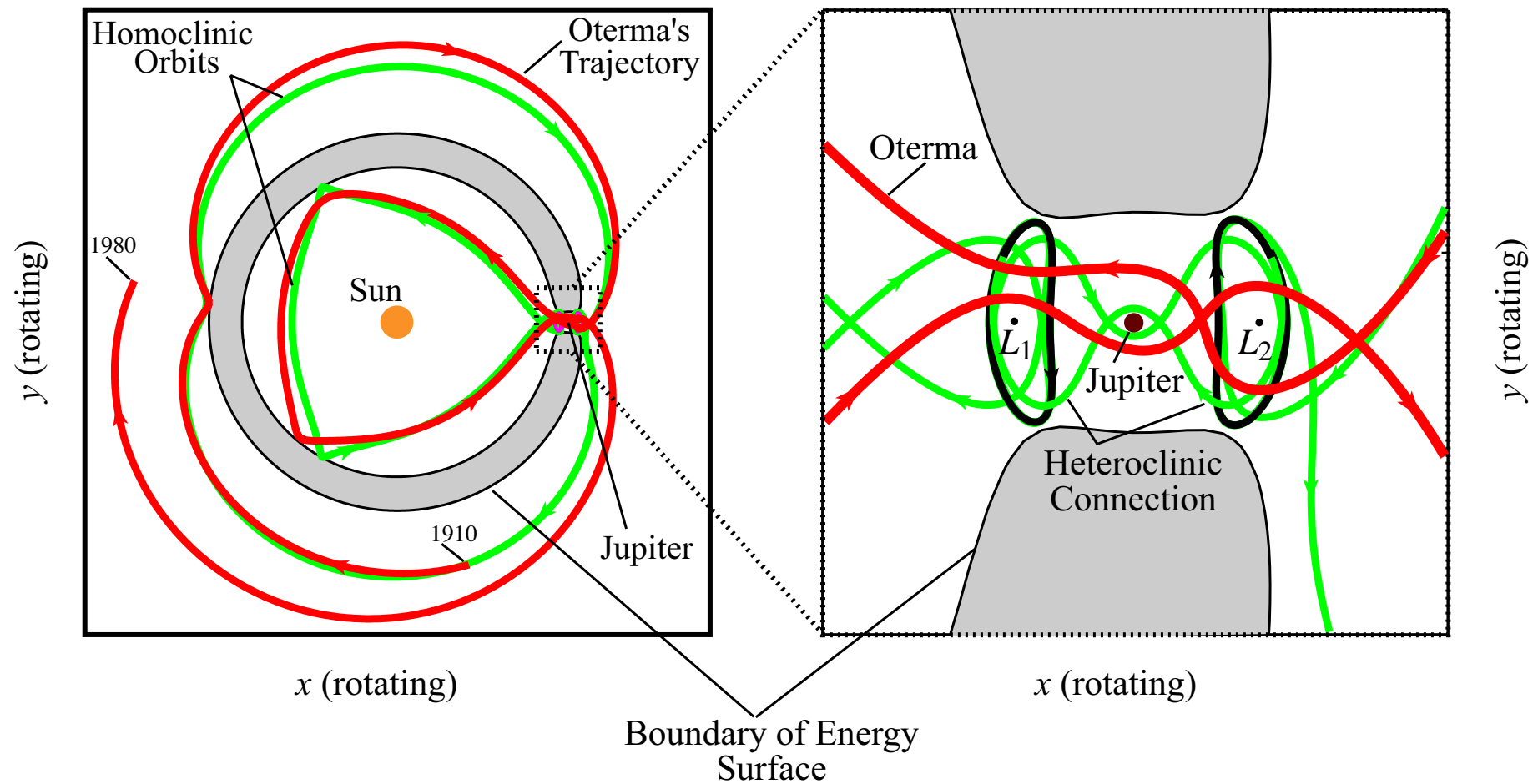
# Jupiter Family Comets

- Rapid transition: outside to inside Jupiter's orbit.
  - Captured temporarily by Jupiter during transition.
  - Exterior (2:3 resonance) to interior (3:2 resonance).



# Viewed in Rotating Frame

- **Oterma's orbit** in rotating frame with some invariant manifolds of the 3-body problem superimposed.



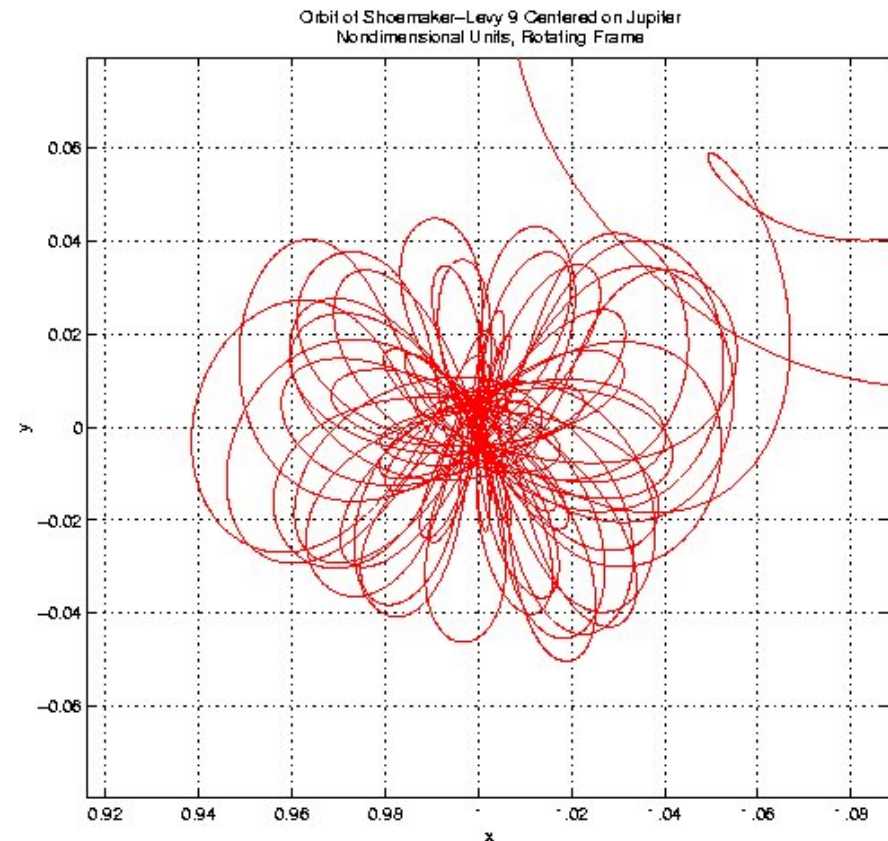
# Viewed in Rotating Frame

*Oterma - Rotating Frame*

# Collisions with Jupiter

□ Shoemaker Levy-9: similar energy to Oterma

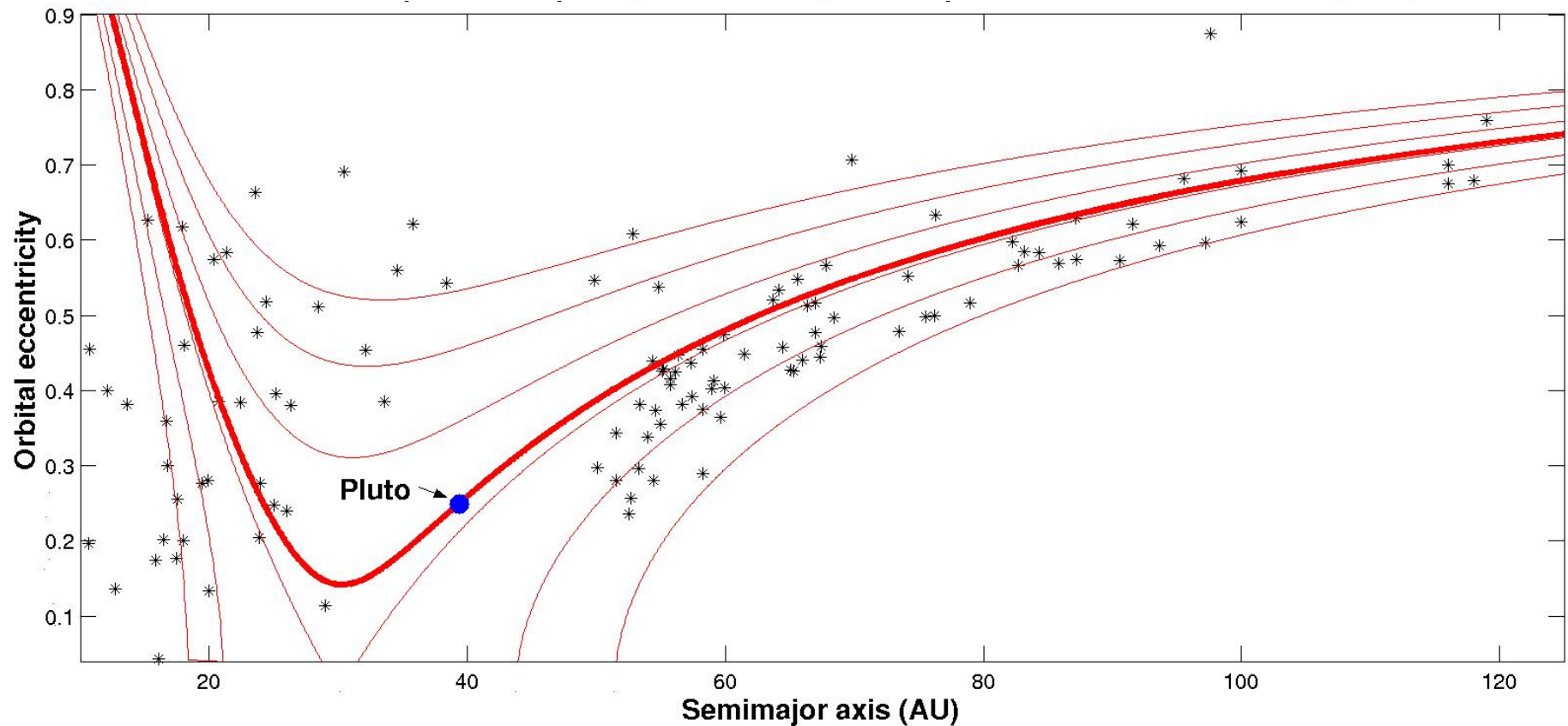
- Temporary capture and collision; came through L1 or L2

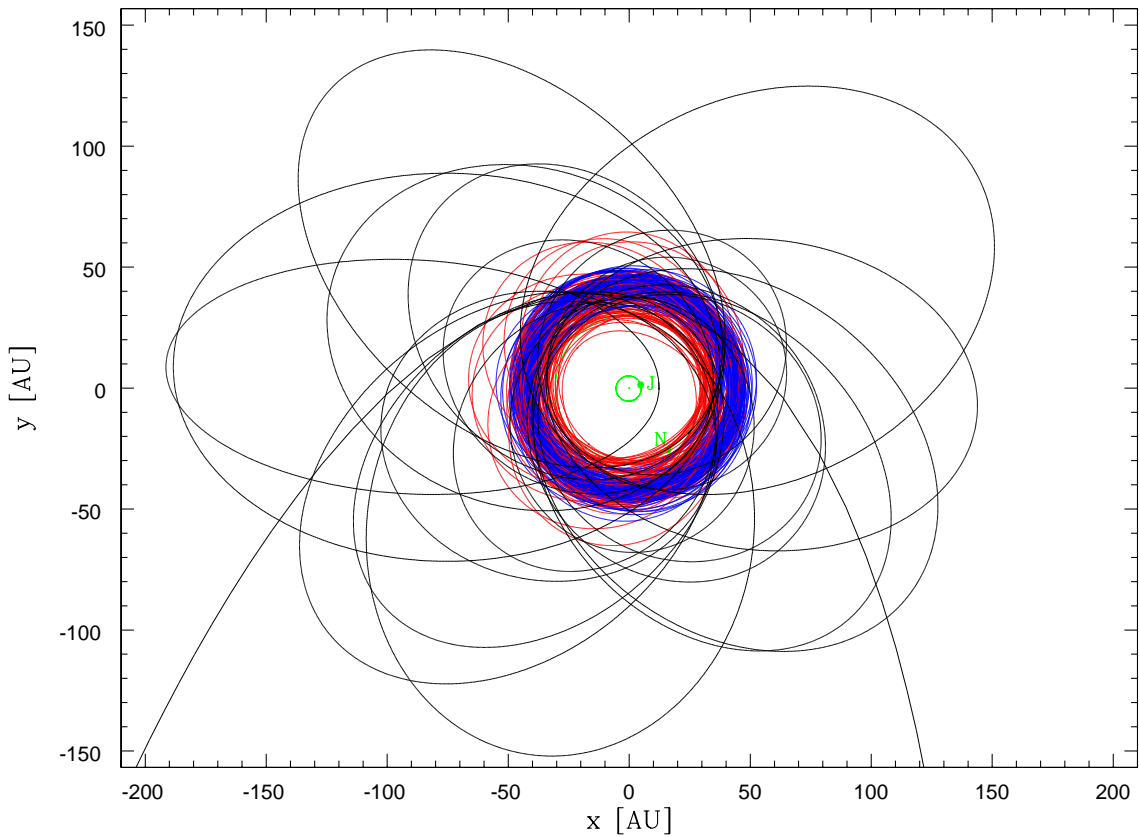


Possible *Shoemaker-Levy 9* orbit seen in rotating frame (Chodas, 2000)

# Scattered Kuiper Belt objects

- Current SKBO locations in black, with some Tisserand values w.r.t. Neptune in red ( $T \approx 3$ )





# Motion of JFCs and SKBOs

- Observation and numerical experiments show chaotic motion maintaining nearly constant Tisserand parameter in the short-term (i.e., a few Lyapunov times,  $\sim 10^2$  to  $10^3$  years, cf. Tancredi [1995])
- We approximate the short-timescale motion of JFCs and SKBOs as occurring within an energy shell of the restricted three-body problem
- Several objects may be in nearly the same energy shell, i.e., all have  $T$  s.t.  $|T - T^*| \leq \delta T$  for some  $T^*, \delta T$
- We analyze the structure of an energy shell to determine likely locations of JFCs and SKBOs

# Three-Body Problem

## ■ *Circular restricted 3-body problem*

- the two primary bodies move in circles; the much smaller third body moves in the gravitational field of the primaries, without affecting them
- the two primaries could be the Sun and Earth, the Earth and Moon, or Jupiter and Europa, etc.
- the smaller body could be a spacecraft or asteroid
- we consider the planar and spatial problems
- there are five equilibrium points in the rotating frame, places of balance which generate interesting dynamics



# Three-Body Problem

- Equations of motion:

$$\ddot{x} - 2\dot{y} = -U_x^{\text{eff}}, \quad \ddot{y} + 2\dot{x} = -U_y^{\text{eff}}$$

where

$$U^{\text{eff}} = -\frac{(x^2 + y^2)}{2} - \frac{1 - \mu}{r_1} - \frac{\mu}{r_2}.$$

- Have a first integral, the Hamiltonian energy, given by

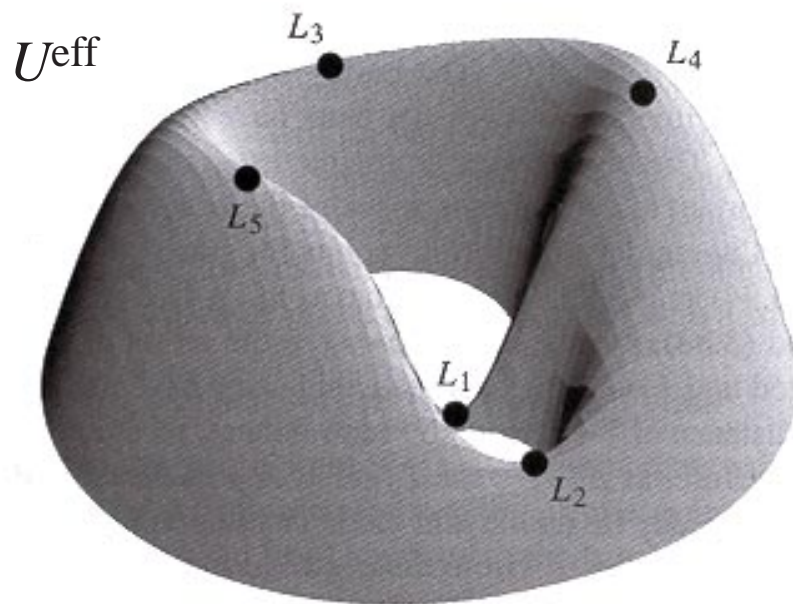
$$E(x, y, \dot{x}, \dot{y}) = \frac{1}{2}(\dot{x}^2 + \dot{y}^2) + U^{\text{eff}}(x, y).$$

- Energy manifolds are 3-dimensional surfaces foliating the 4-dimensional phase space.
- This is for the planar problem, but the spatial problem is similar.

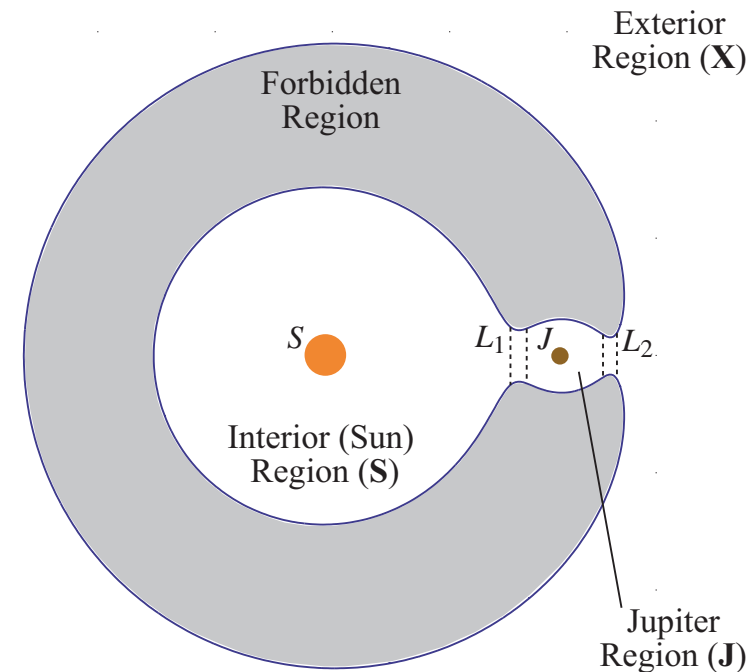
# Regions of Possible Motion

## ■ *Effective potential*

- In a rotating frame, the equations of motion describe a particle moving in an effective potential plus a Coriolis force (goes back to the work of Jacobi, Hill, etc.)



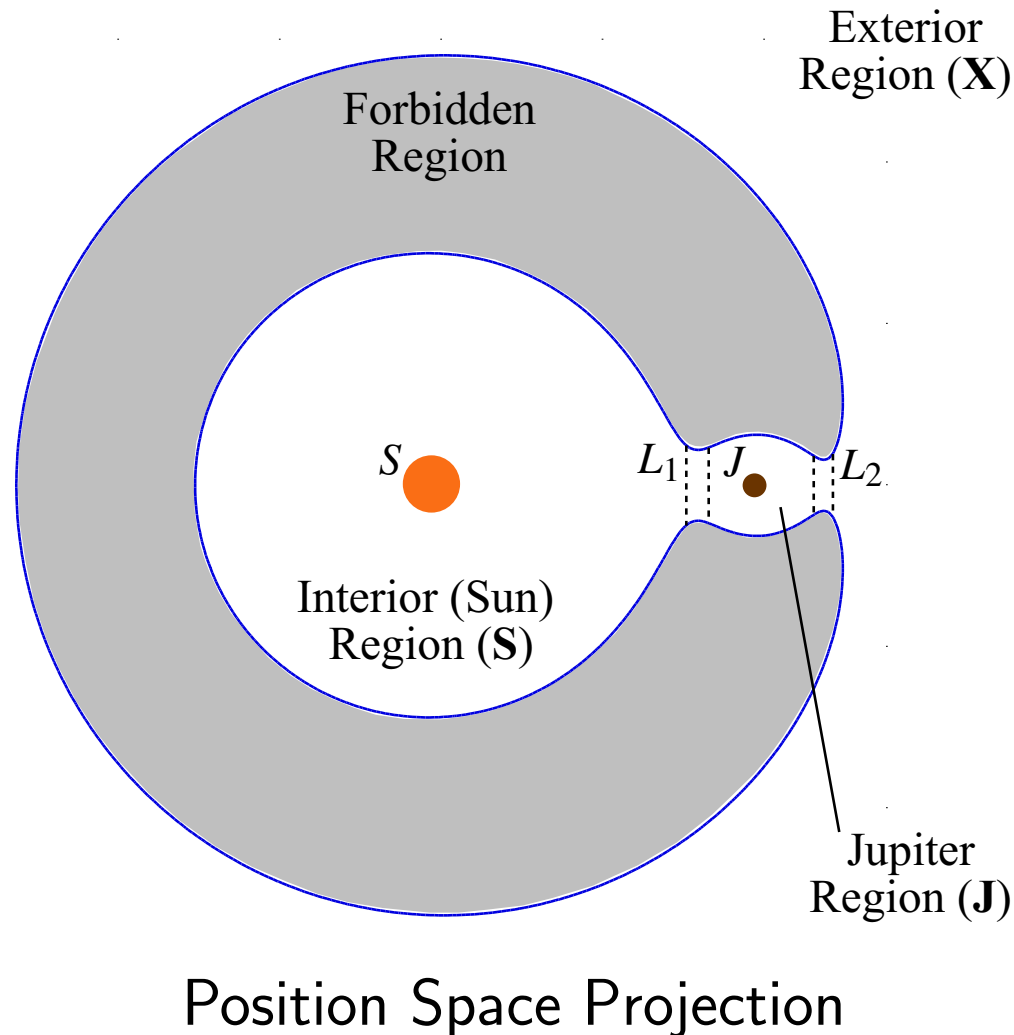
Effective potential



Level set shows accessible regions

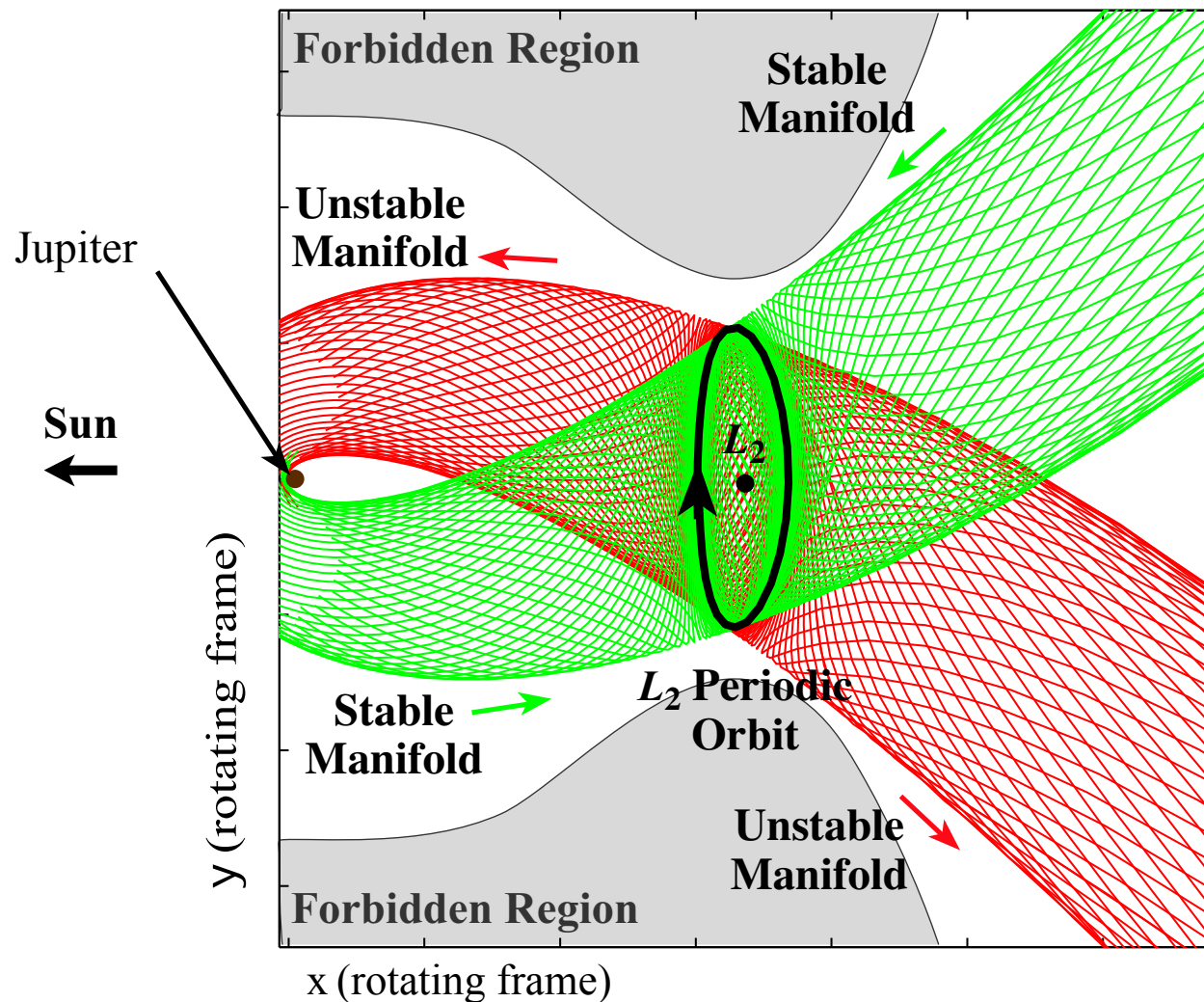
# Partition the Energy Surface

- *Restricted 3-body problem (planar)*
- Partition the energy surface: **S, J, X** regions



# Tubes in the 3-Body Problem

- **Stable** and **unstable** manifold tubes
  - Control transport through the neck.



# Motion within energy shell

- For fixed  $\mu$ , an energy shell (or energy manifold) of energy  $\varepsilon$  is

$$\mathcal{M}(\mu, \varepsilon) = \{(x, y, \dot{x}, \dot{y}) \mid E(x, y, \dot{x}, \dot{y}) = \varepsilon\}.$$

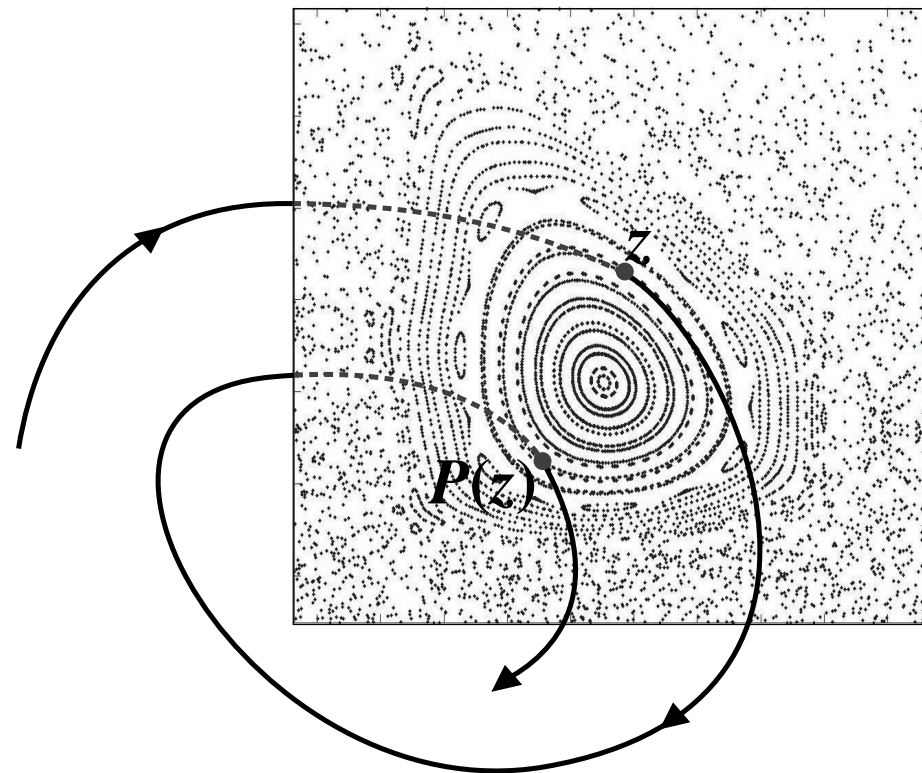
The  $\mathcal{M}(\mu, \varepsilon)$  are 3-dimensional surfaces foliating the 4-dimensional phase space.

# Poincaré surface-of-section

- Study Poincaré surface of section at fixed energy  $\varepsilon$ :

$$\Sigma_{(\mu,\varepsilon)} = \{(x, \dot{x}) \mid y = 0, \dot{y} = f(x, \dot{x}, \mu, \varepsilon) < 0\}$$

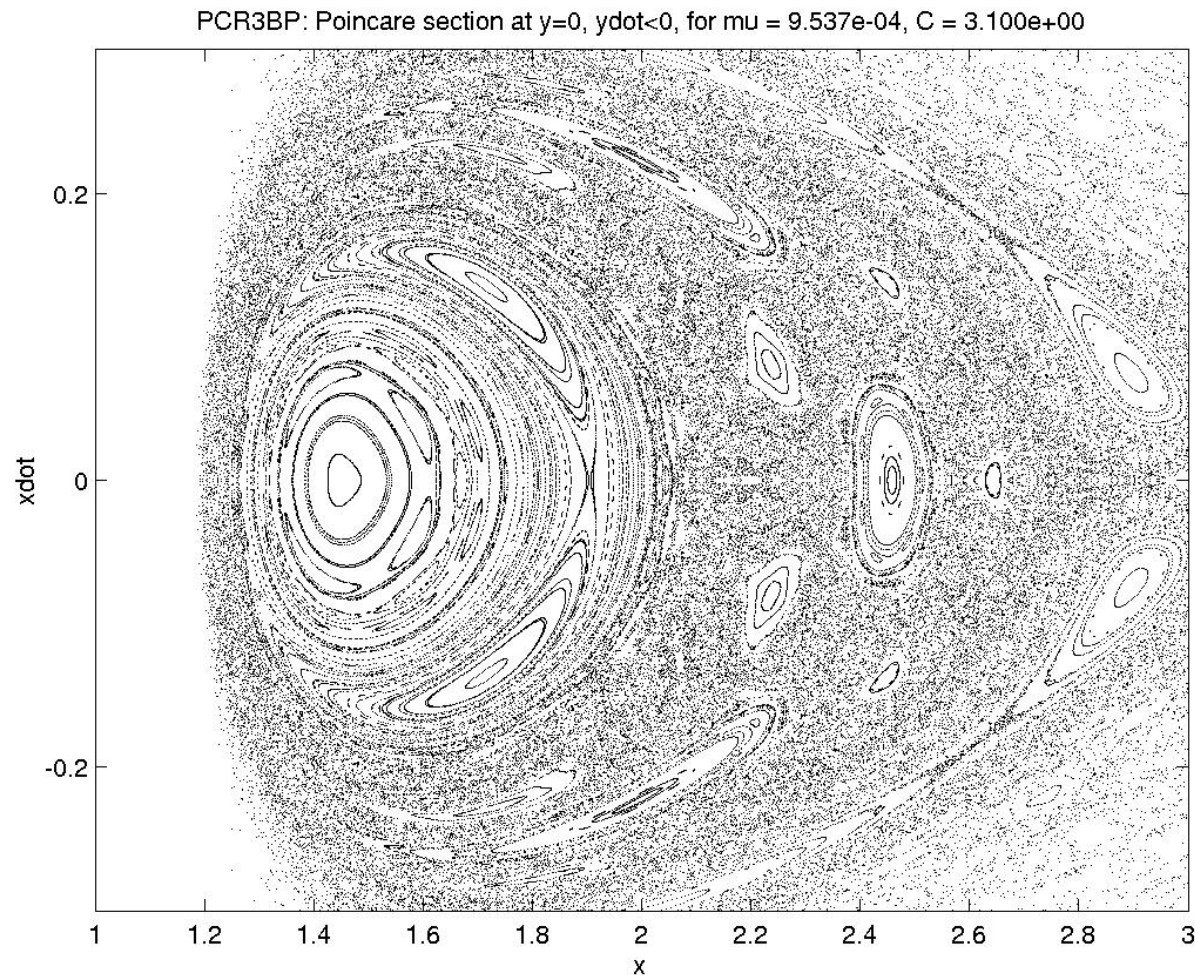
reducing the system to an area preserving map on the plane. Motion takes place on the cylinder,  $S^1 \times \mathbb{R}$ .



Poincaré surface-of-section and map  $P$

# Connected chaotic component

- The energy shell has regular components (KAM tori) and irregular components. Large connected irregular component is the “**chaotic sea.**”

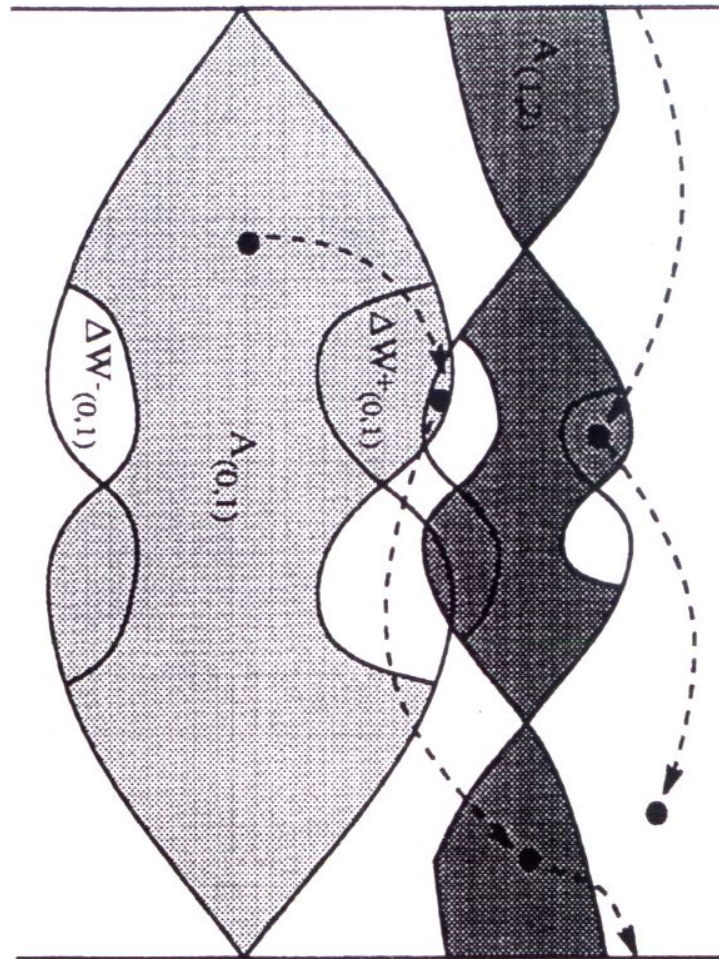


# Movement among resonances

- The motion within the chaotic sea is understood as the movement of trajectories among resonance regions (see Meiss [1992] and Schroer and Ott [1997]).



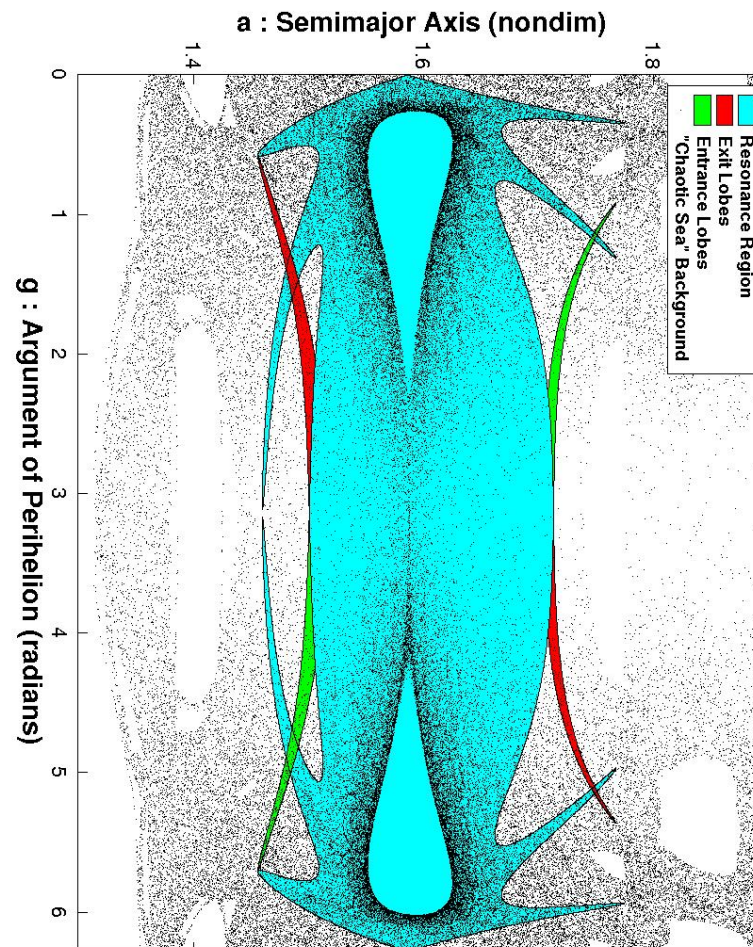
# Movement among resonances



Schematic of two neighboring resonance regions from Meiss [1992]

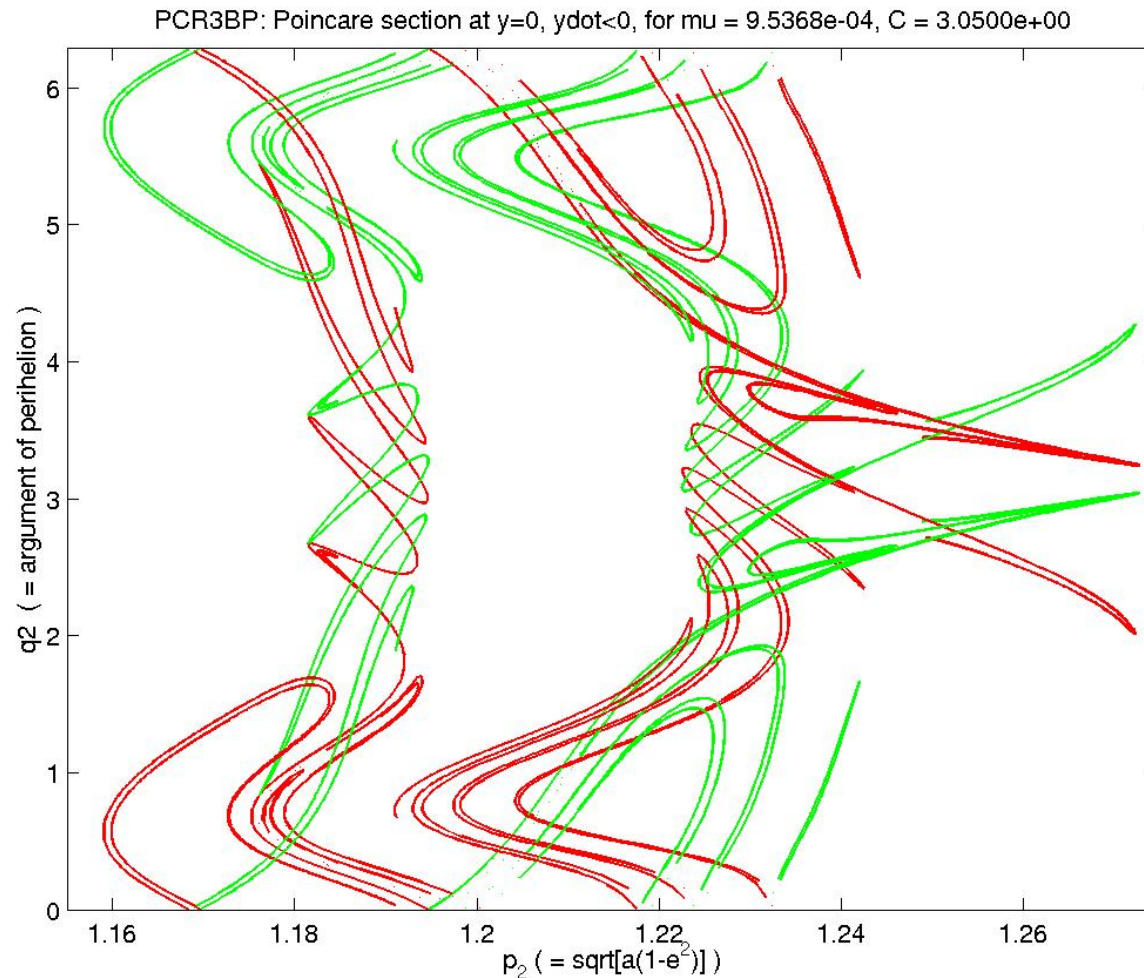
# Movement among resonances

- Confirmed by numerical computation.
- Shaded region bounded by stable and unstable invariant manifolds of an unstable resonant (periodic) orbit.



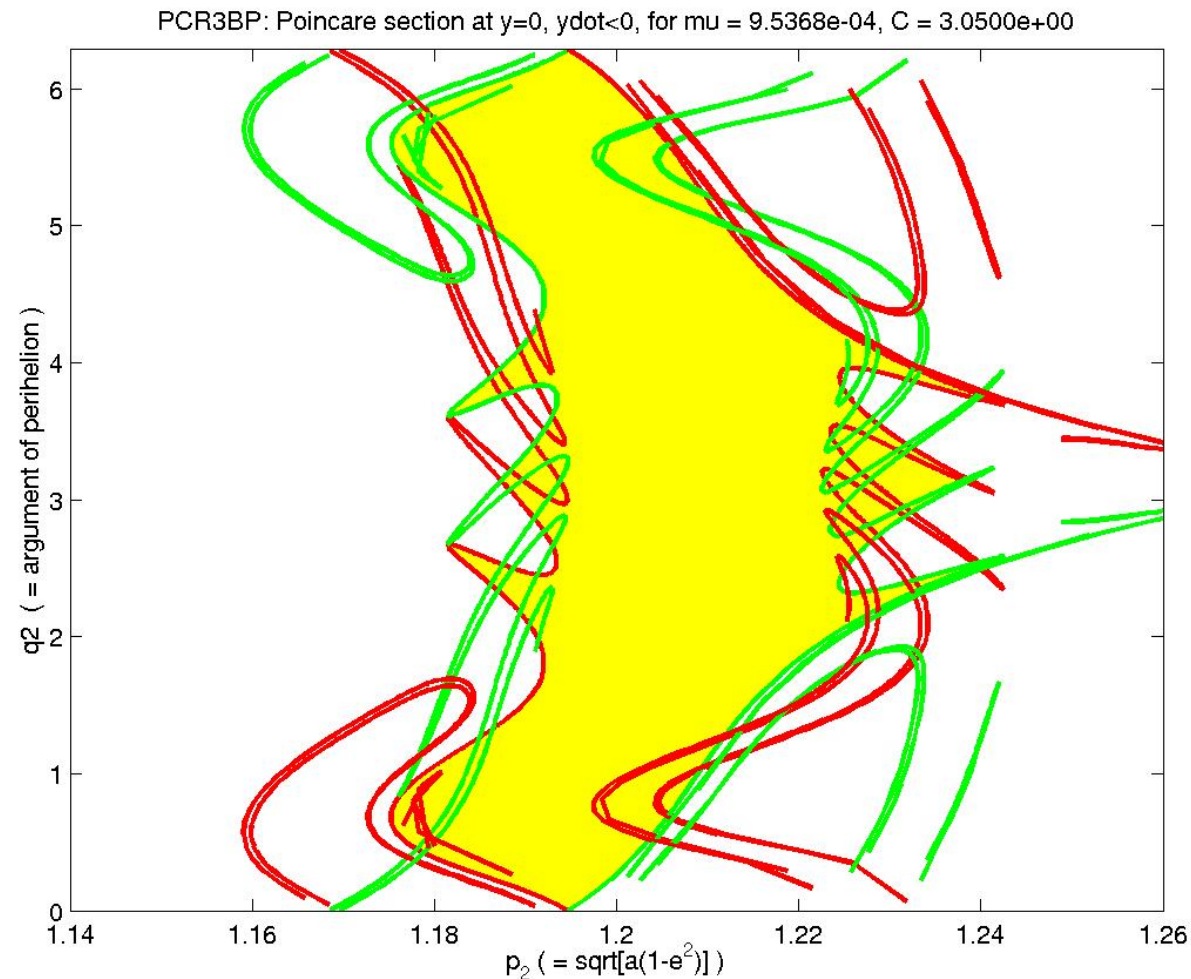
# Homoclinic tangle

- Unstable/stable manifolds of periodic points understood as the backbone of the dynamics. This is the homoclinic tangle glimpsed by Poincaré.



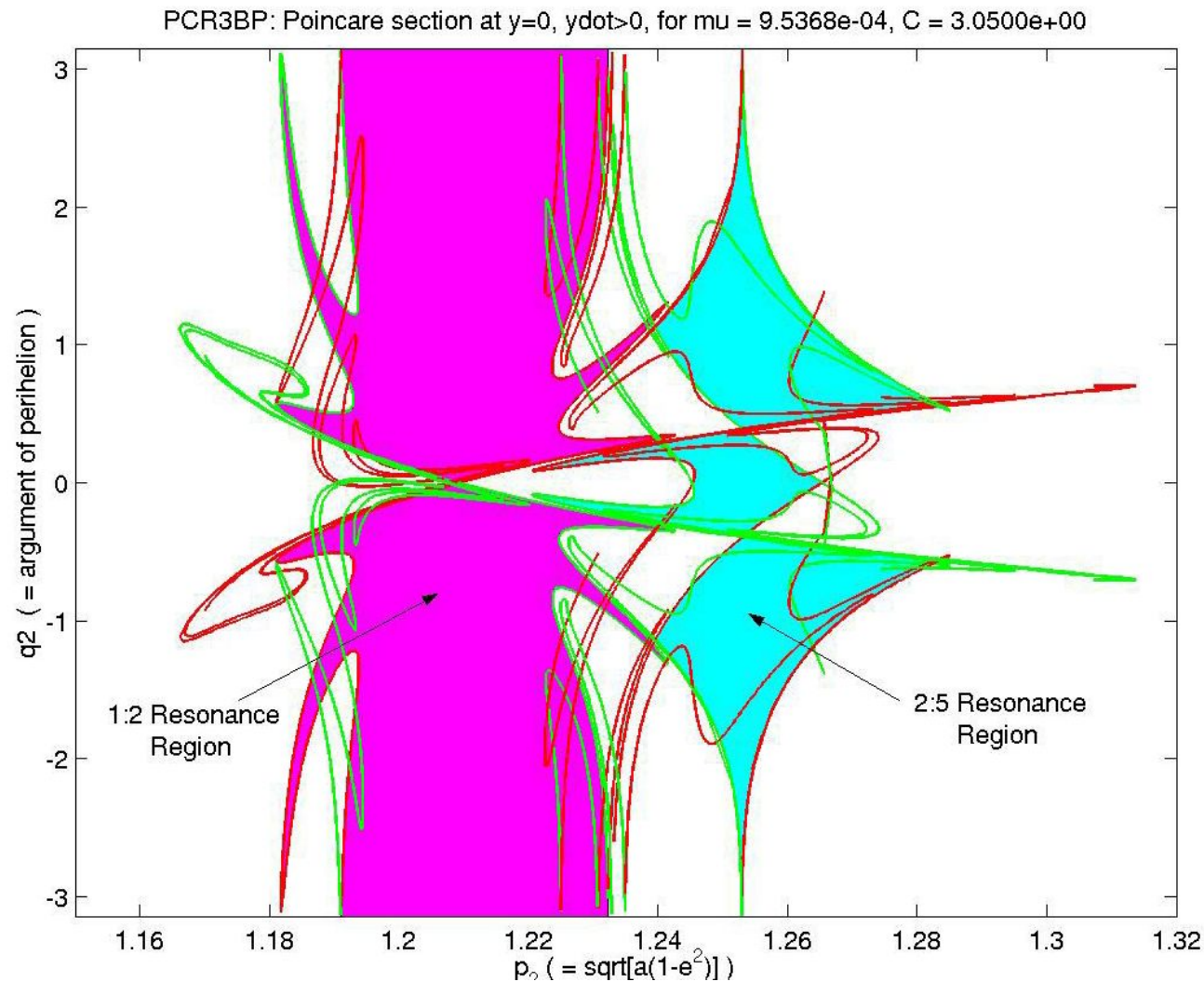
# Resonance region

- Unstable/stable manifolds up to “pip” (cf. Wiggling [1992]) denote the boundary of the **resonance region**.



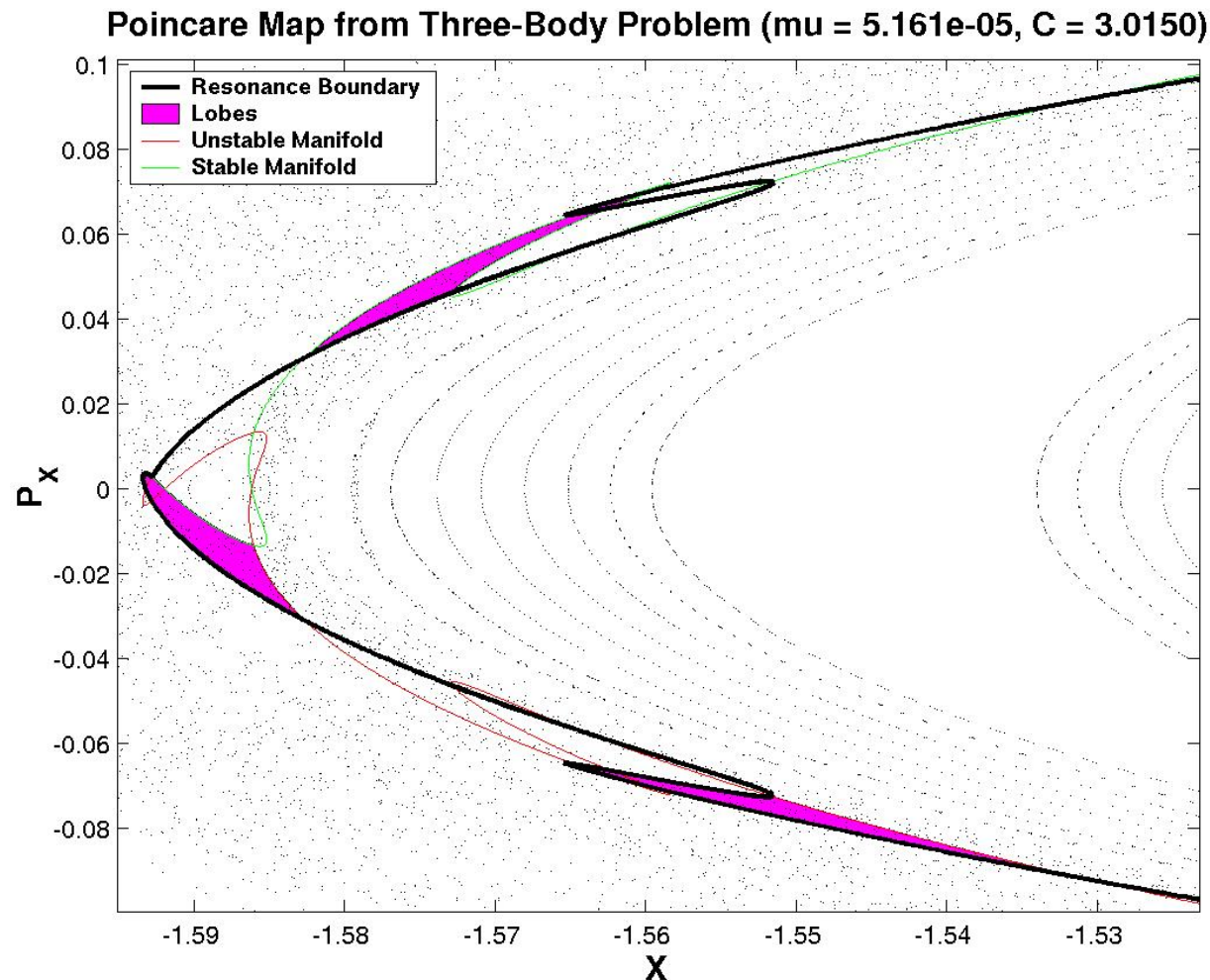
# Resonance region

- Neighboring resonance regions indeed **overlap**, leading to complicated mixing.



# Transport quantities

- **Lobe dynamics**; following intersections of stable and unstable invariant manifolds of periodic orbits (Wiggins et al.)



# Transport quantities

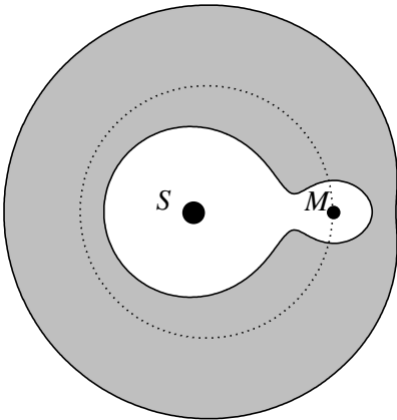
- These methods are preferred over the “brute force” solar system calculations seen in the literature since they are based on first principles.
- Reveal generic structures; give deeper insight.

# Escape rates

## ■ *Obtain rates and probabilities*

- One can compute the rate of escape of asteroids temporarily captured by Mars.
  - Jaffé, Ross, Lo, Marsden, Farrelly, and Uzer [2002]
- Statistical approach
  - similar to chemical dynamics, see Truhlar [1996]
- Consider an asteroid (or other body) in orbit around Mars (perhaps impact ejecta) at a 3-body energy such that it can escape toward the Sun.
- Interested in rate of escape of such bodies at a fixed energy, i.e.  $F_{M,S}(t)$





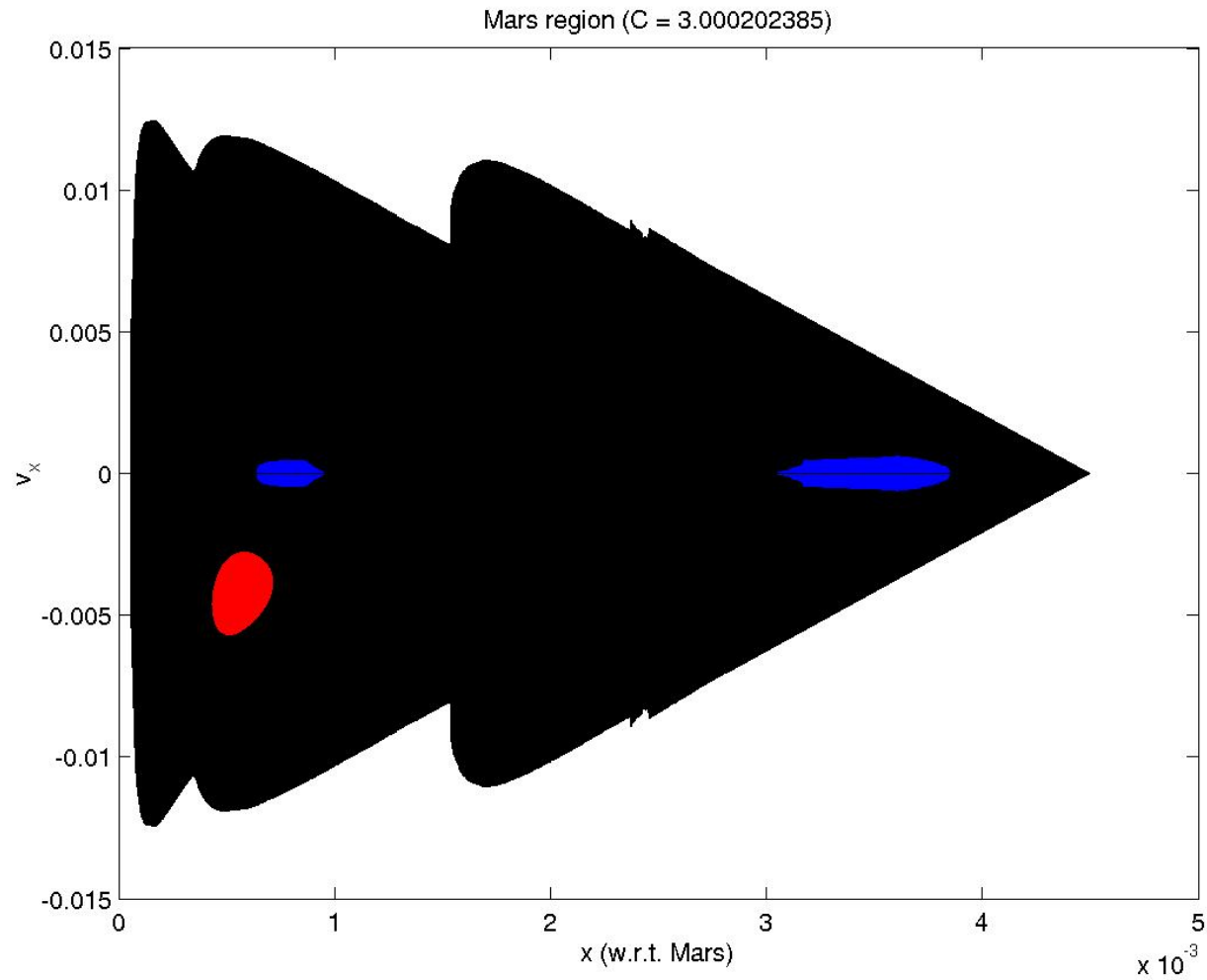
# Escape rates

- Mixing assumption: all asteroids in the Mars region at fixed energy are **equally likely to escape**.



$$\begin{aligned} \text{Escape rate} &= \frac{\text{flux out of Mars region}}{\text{Mars region phase space volume}} \\ &= \frac{\text{area of escaping orbits}}{\text{area of chaotic region}} \end{aligned}$$

# Escape rates

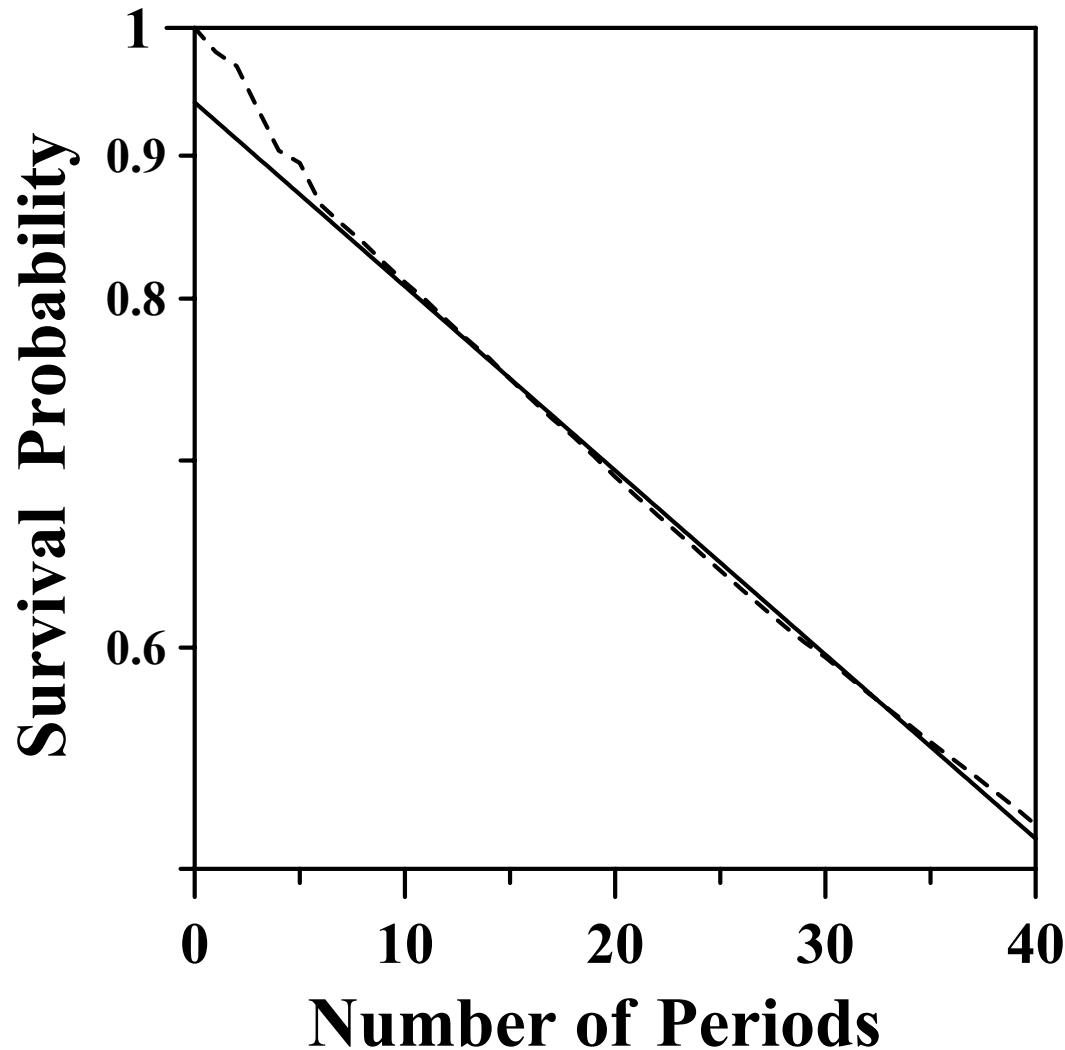


# Escape rates

- Compare with Monte Carlo simulations of 107,000 particles
  - randomly selected initial conditions at constant energy

# Transition Rates

- Theory and numerical simulations agree well.
  - Monte Carlo simulation (dashed) and theory (solid)



# Steady state distribution

- If the planar, circular restricted three-body problem is **ergodic**, then a statistical mechanics can be built (cf. ZhiGang [1999]).
- Recent work suggests there may be regions of the energy shell for which the motion is ergodic, in particular the “chaotic sea” (Jaffé et al. [2002]).
- This suggests we compute the **steady state distribution** of some observable for particles in the chaotic sea; a simple method for obtaining the likely locations of any particles within it.

# Steady state distribution

- Assuming ergodicity,

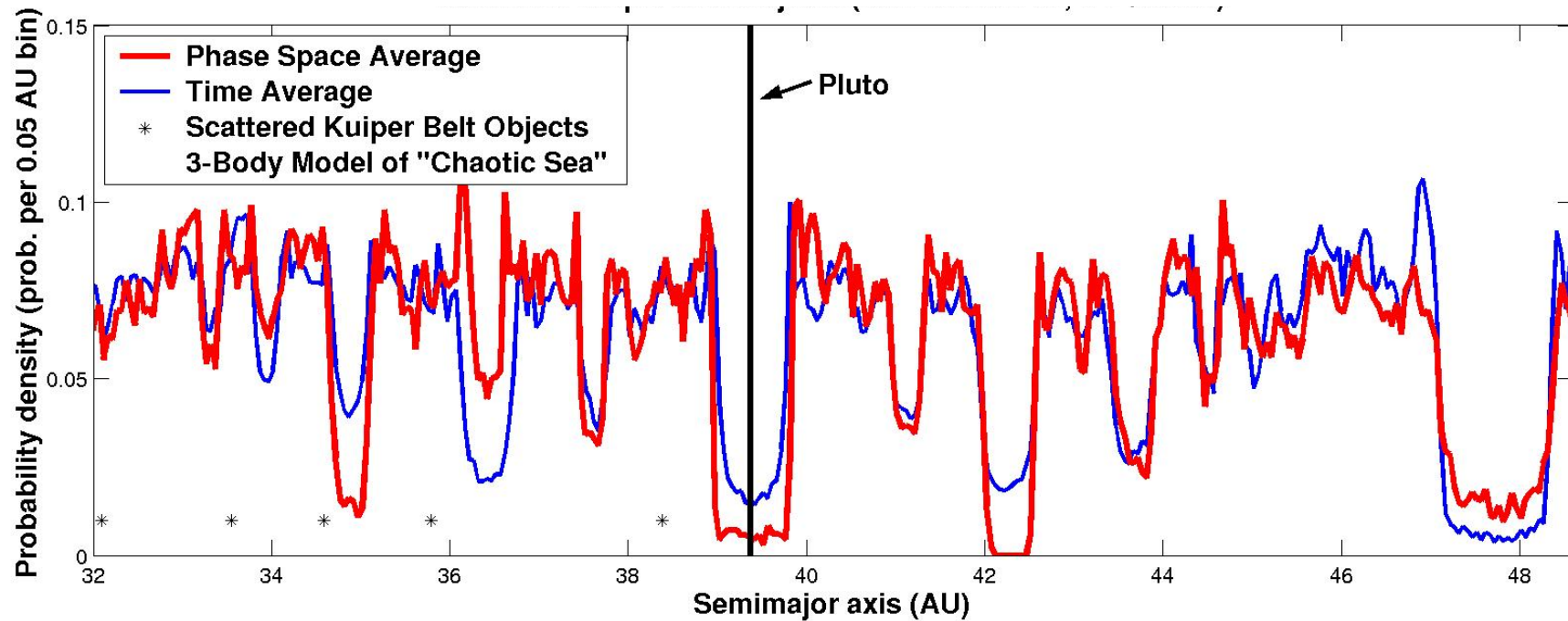
$$\lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t A(x, y, p_x, p_y) d\tau = \int A(x, y, p_x, p_y) \frac{C}{|\frac{\partial H}{\partial p_y}|} dp_x dx dy,$$

where  $A(x, y, p_x, p_y)$  is any physical observable (e.g., semimajor axis), one can find that the density function,  $\rho(x, p_x)$ , on the surface-of-section,  $\Sigma_{(\mu, \epsilon)}$ , is constant.

- We can determine the steady state distribution of semimajor axes; define  $N(a)da$  as the number of particles falling into  $a \rightarrow a + da$  on the surface-of-section,  $\Sigma_{(\mu, \epsilon)}$ .

# Steady state distribution

- SKBOs should be in regions of high density.

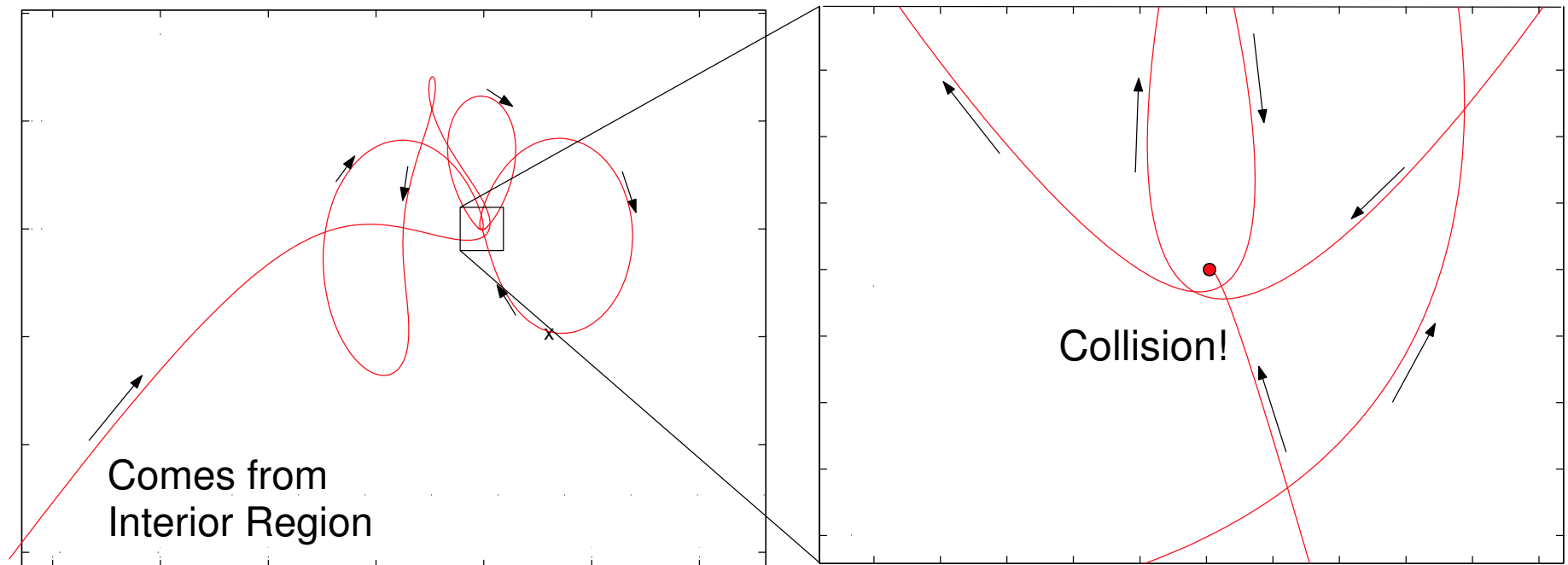




# Collision Probabilities

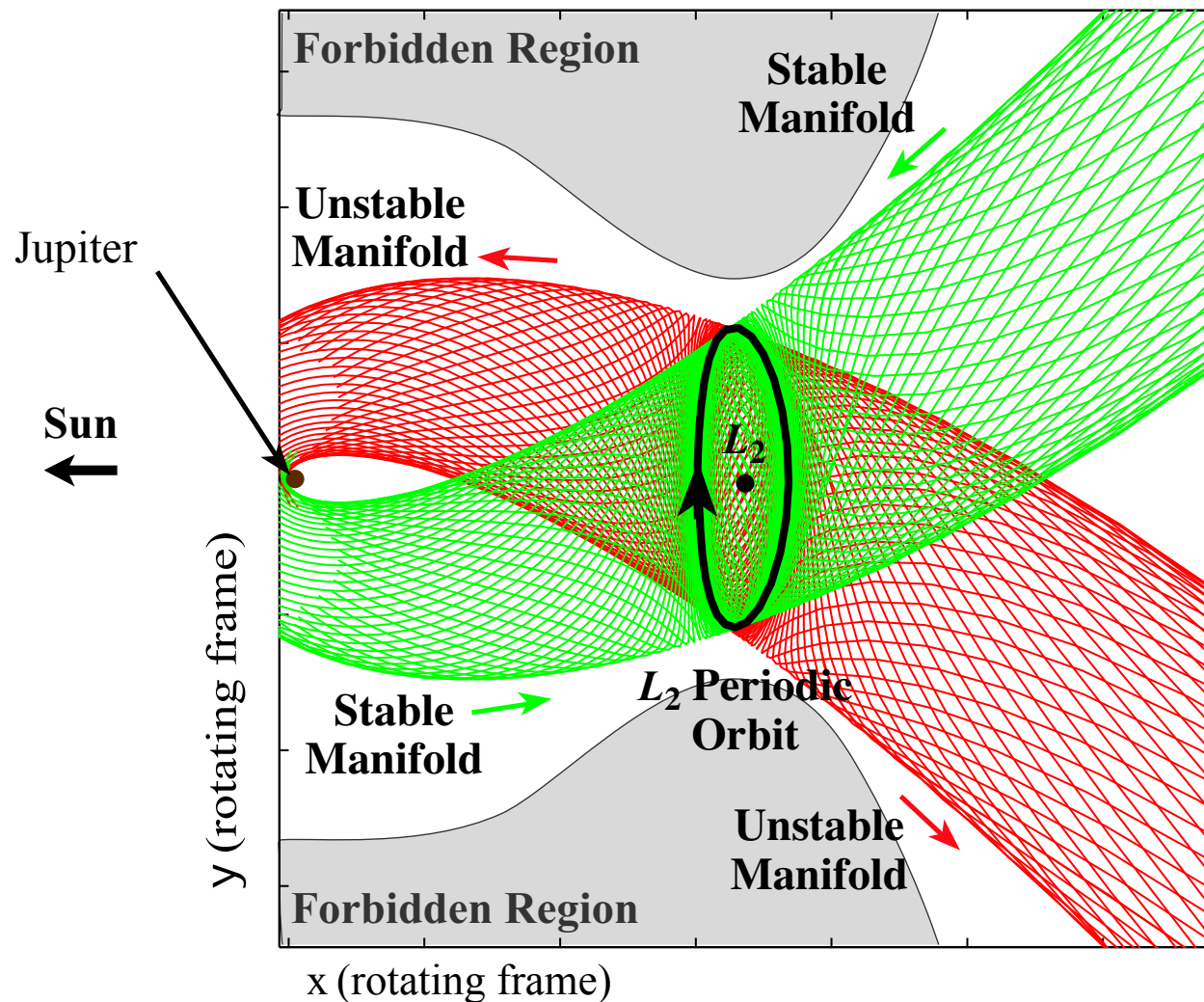
- Low velocity impact probabilities
- Assume object enters the planetary region with an energy slightly above L1 or L2
  - eg, **Shoemaker-Levy 9** and **Earth-impacting asteroids**

Example Collision Trajectory



# Tubes in the 3-Body Problem

- **Stable** and **unstable** manifold tubes
  - Control transport through the neck.



# Collision Probabilities

## ■ *Collision probabilities*

- Compute from tube intersection with planet on Poincaré section
- Planetary diameter is a parameter, in addition to  $\mu$  and energy  $E$

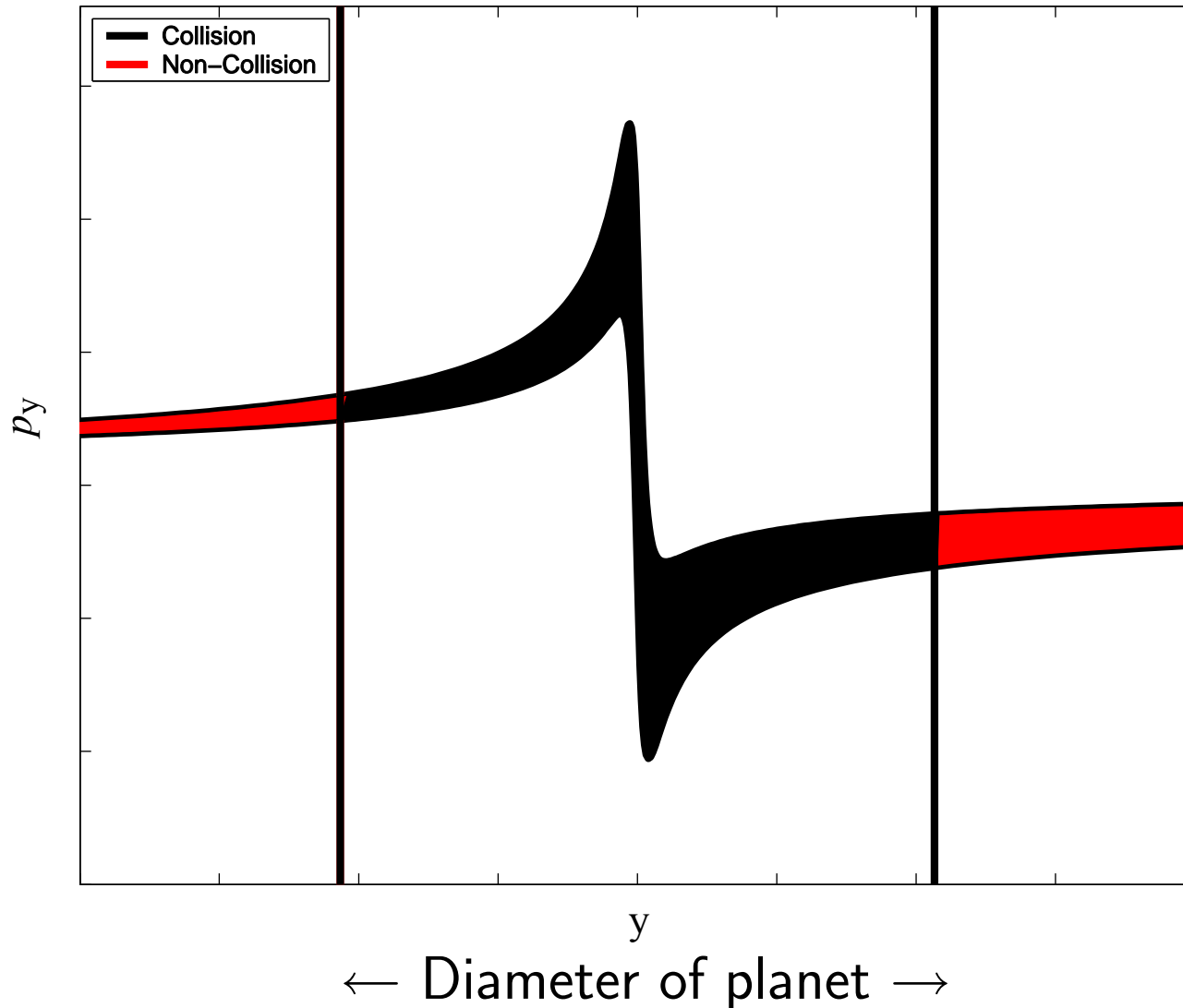


← Diameter of planet →

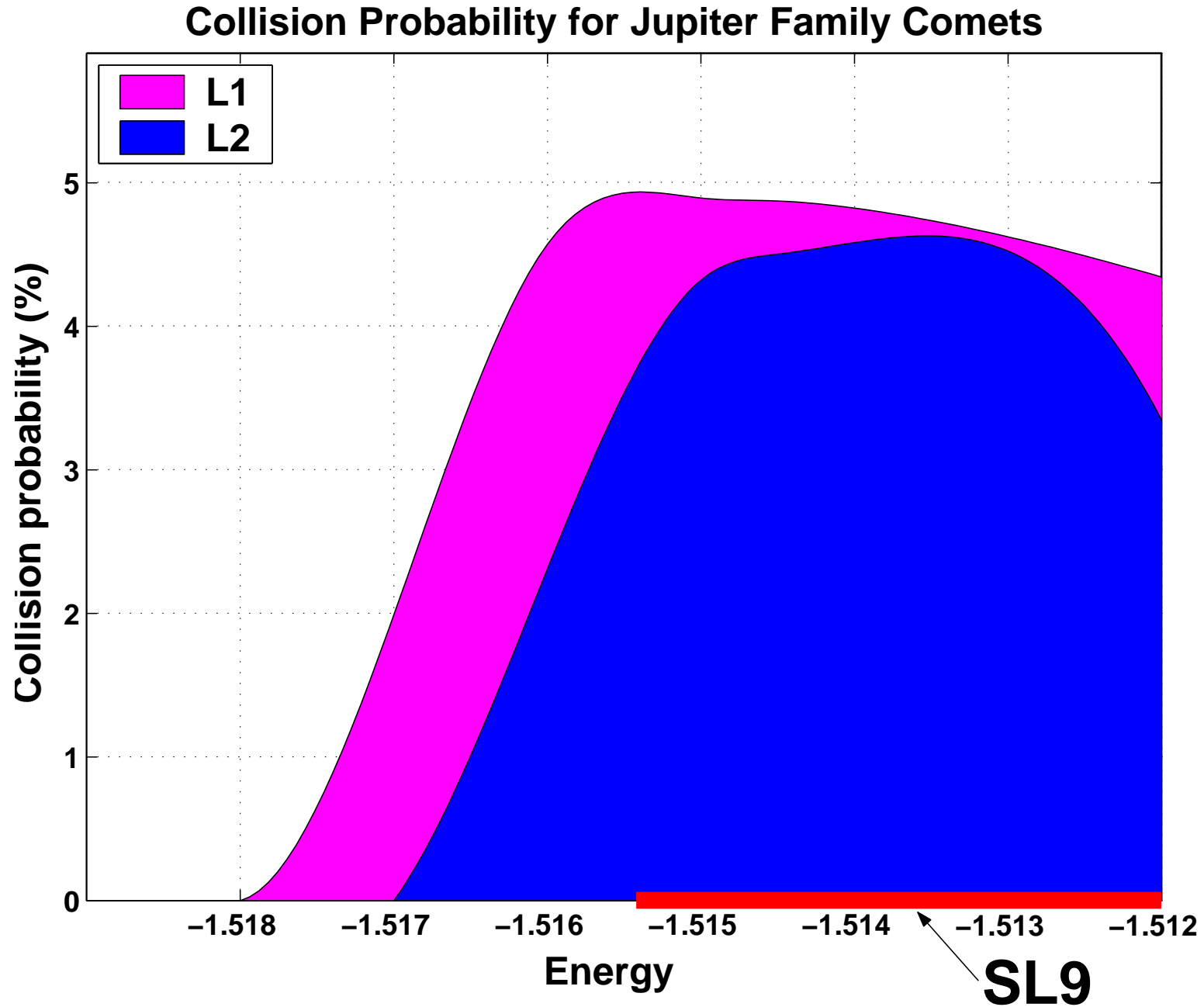
# Collision Probabilities

## ■ *Collision probabilities*

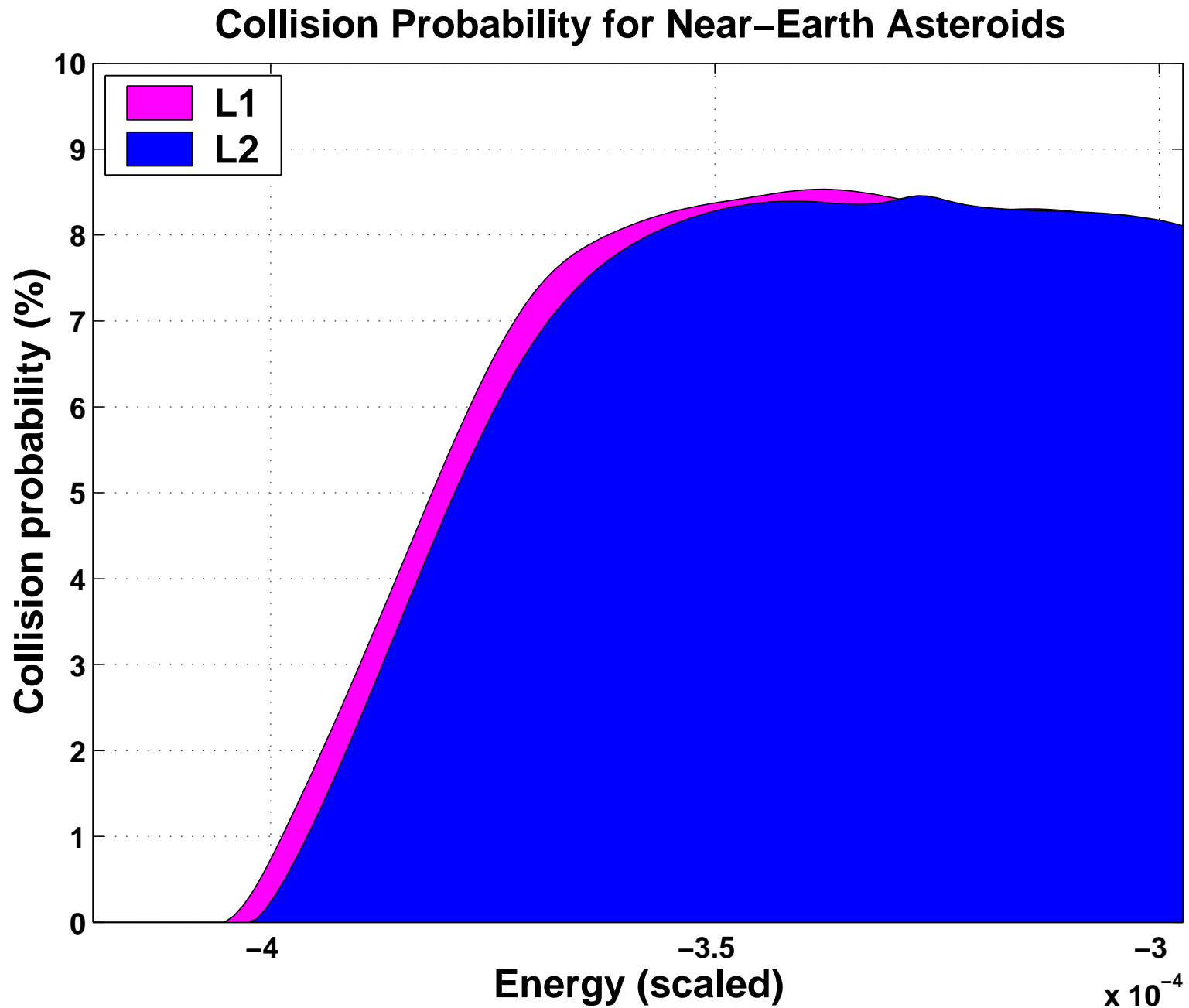
Poincare Section: Tube Intersecting a Planet



# Collision Probabilities



# Collision Probabilities



# Conclusion and Future Work

## ■ *Transport in the solar system*

- Approximate some solar system phenomena using the restricted 3-body problem
- Circular restricted 3-body problem
  - Stable and unstable manifold tubes of libration point orbits can be used to compute statistical quantities of interest
  - Probabilities of transition, collision
- Theory and observation agree

## ■ *Future studies to involve multiple three-body problems and 3-d.o.f.*

# References

- Jaffé, C., S.D. Ross, M.W. Lo, J. Marsden, D. Farrelly, and T. Uzer [2002] *Statistical theory of asteroid escape rates*. *Physical Review Letters*, **89**
- Koon, W.S., M.W. Lo, J.E. Marsden and S.D. Ross [2001] *Resonance and capture of Jupiter comets*. *Celestial Mechanics and Dynamical Astronomy* 81(1-2), 27–38.
- Gómez, G., W.S. Koon, M.W. Lo, J.E. Marsden, J. Masdemont and S.D. Ross [2001] *Invariant manifolds, the spatial three-body problem and space mission design*. AAS/AIAA Astrodynamics Specialist Conference.
- Koon, W.S., M.W. Lo, J.E. Marsden and S.D. Ross [2000] *Heteroclinic connections between periodic orbits and resonance transitions in celestial mechanics*. *Chaos* 10(2), 427–469.

For papers, movies, etc., visit the website:

<http://www.cds.caltech.edu/~shane>

**The End**